

# EuroIntervention

Title: Effect of Stenting on the Near-Infrared Spectroscopy-Derived Lipid Core **Burden Index of Carotid Artery Plaque.** 

**Authors:** Cyril Štěchovský, M.D; Petr Hájek, M.D, PhD; Martin Horváth, M.D; Josef Veselka, M.D, PhD

**DOI:** 10.4244/EIJ-D-17-01054

tervention Citation: Štěchovský C, Hájek P, Horváth M, Veselka J. Effect of Stenting on the Near-Infrared Spectroscopy-Derived Lipid Core Burden Index of Carotid Artery Plaque. EuroIntervention 2018; Jaa-402 2018, doi: 10.4244/EIJ-D-17-01054

Manuscript submission date: 28 November 2017

Revisions received: 23 March 2018, 17 May 2018

Accepted date: 21 June 2018

Online publication date: 26 June 2018

**Disclaimer:** This is a PDF file of a "Just accepted article". This PDF has been published online early without copy editing/typesetting as a service to the Journal's readership (having early access to this data). Copy editing/typesetting will commence shortly. Unforeseen errors may arise during the proofing process and as such Europa Digital & Publishing exercise their legal rights concerning these potential circumstances.

Effect of Stenting on the Near-Infrared Spectroscopy-Derived Lipid Core Burden Index of Carotid Artery Plaque

Cyril Štěchovský<sup>1</sup>, MD; Petr Hájek<sup>1</sup>, MD, PhD; Martin Horváth<sup>1</sup>, MD; Josef Veselka<sup>1</sup>, MD, PhD

1. Department of Cardiology, Second Faculty of Medicine, Charles University, University Hospital Motol, Prague, Czech Republic

iver. Short title: Effect of Carotid Stenting on the Lipid Core

Address for correspondence:

Cyril Štěchovský

Department of Cardiology, Motol University Hospital,

V Uvalu 84, 150 06, Prague, Czech Republic

Fax: +420 224434920

Telephone: +420 224434901

### Abstract

Aims: Catheter-based intravascular near-infrared spectroscopy (NIRS) detects a lipid signal from atherosclerotic plaque. The aim of this study was to describe the effect of carotid artery stenting (CAS) on the lipid signal in a carotid stenosis.

Methods and results: We performed NIRS combined with intravascular ultrasound (IVUS) during 120 CAS procedures. Minimal luminal area (MLA) and plaque burden (PB) at the site of MLA were measured with IVUS and lipid core burden index (LCBI), maximal LCBI in a 4-mm segment of the artery (LCBImax) and LCBI in a 4-mm segment at the site of MLA (LCBImla) with NIRS-derived chemograms. NIRS-IVUS imaging was performed at baseline, after stent implantation and after balloon postdilatation. The most common lesion type was the fibrocalcific plaque (76%). Lipid-rich plaque (LCBImax  $\geq$  400) was present in 33% of carotid stenoses and in 20% at the site of MLA. Median MLA increased significantly from baseline to stent implantation (3.63 mm² to 5.56 mm², P < 0.001) and to postdilatation (5.56 mm² to 12.03 mm², P < 0.001). Median LCBI, LCBImax and LCBImla significantly decreased from baseline to stent implantation: LCBI (60 to 8, P < 0.001), LCBImax (294 to 60, P < 0.001) and LCBImla (124 to 0, P < 0.001). Postdilatation of the stent had no further significant effect on median LCBI (8 to 5, P = 0.890), LCBImax (60 to 50, P = 0.690) and LCBImla (0 to 0, P = 0.438).

Conclusions: Carotid artery stenting significantly reduced the NIRS-derived lipid core burden index at the stented segment.

**Condensed abstract** 

The aim of this study was to describe the effect of carotid artery stenting (CAS) on the lipid

signal in a carotid stenosis assessed with intravascular near-infrared spectroscopy (NIRS)

derived lipid core burden index (LCBI). One hundred and twenty CAS procedures were

evaluated. Plaques were mostly fibrocalcific but in 33% contained lipid rich core. Median

LCBI significantly decreased from baseline to stent implantation (60 to 8, P < 0.001).

Postdilatation had no further significant effect on median LCBI (8 to 5, P = 0.890). Carotid

stenting caused a significant decrease of the lipid signal.

Classifications

Imaging modalities, Carotid and super-aortic disease, Carotid stenting, Other imaging . ste

modalities.

**Abbreviations** 

CAS: Carotid artery stenting

CCA: Common carotid artery

CSA: Cross sectional area

EEM: External elastic membrane

EEMCSAlcbimax: External elastic membrane cross-sectional area at the site of maximal lipid

core burden index

EEMCSAmla: External elastic membrane cross-sectional area at the site of minimal luminal

area

EEMCSAref: External elastic membrane cross-sectional area at the site of reference section

Disclaimer : As a public service to our readership, this article -- peer reviewed by the Editors of EuroIntervention - has been published immediately upon acceptance as it was received. The content of this article is the sole responsibility of the authors, and not that of the

journal

ICA: Internal carotid artery

IVUS: Intravascular ultrasound

LCBI: Lipid core burden index

LCBImax: Maximal lipid core burden index in any 4mm segment of the artery

LCBImla: Lipid core burden index in the 4 mm segment at the site of minimal luminal area

LCP: Lipid core plaque

LRP: Lipid rich plaque

Eurointervention LumenCSA: Lumen cross-sectional area

MLA: Minimal luminal area

NIRS: Near-infrared spectroscopy

PB: Plaque burden

PBlcbimax: Plaque burden at the site of maximal lipid core burden index

PBmla: Plaque burden at the site of minimal luminal area

RI: Remodeling index

**ROI**: Region of interest

TIA: Transient ischemic attack

# Impact on Daily practice

NIRS-IVUS assessment of the carotid stenosis in patients undergoing CAS provides additional morphological and compositional information that might prove useful in tailoring treatment strategy. The best treatment option (specifically designed stents, aggressive antithrombotic and lipid lowering therapy or endarterectomy) for the lipid rich carotid plaques needs to be determined in future trials.



### 1. Introduction

Advances in invasive and non-invasive vascular imaging have led to better understanding of the pathophysiology of atherosclerosis. However, most of the in vivo studies were performed on coronary arteries. Catheter-based intravascular near-infrared spectroscopy (NIRS) is a relatively novel imaging method to detect a lipid signal from an atherosclerotic plaque (1-2). The accuracy of NIRS to detect lipid-core-containing atherosclerotic plaques has been validated in coronary autopsy specimens (1) and in vivo (2). NIRS has been utilized to assess coronary atherosclerosis and the effect of various interventions on the lipid signal in coronary plaques (3-5). Studies with NIRS demonstrated that the presence of lipid-rich coronary plaques in patients undergoing percutaneous coronary intervention (PCI) is related to the incidence of cardiovascular events in long-term follow-up (6-7), the risk of periprocedural myocardial infarction during PCI (8-10) and presentation with stable angina versus acute coronary syndrome (11-12). They further described the coronary artery response to PCI as redistribution and reduction of the lipid core in the stented segment (13-15). A similar response to stent implantation (i.e. reduction of the lipid signal) could be expected in other vascular territories. We have already reported the safety and feasibility of the NIRS-IVUS imaging of carotid arteries in vivo and the distribution of LCBI in carotid stenosis (16) and improved characterization of the composition of carotid stenosis with multimodality imaging including NIRS (17).

The aim of this study was to describe the effect of CAS on the lipid signal in a carotid stenosis. The response of the lipid signal was assessed with intravascular NIRS-derived lipid

core burden index (LCBI) in a segment of common and internal carotid artery before and after stent implantation.

### 2. Methods

### 2.1. Design

In Motol University Hospital, Prague, Czech Republic, we prospectively enrolled patients undergoing CAS who met the eligibility criteria into the registry. The study protocol was approved by the Motol University Hospital Ethics Committee. All patients provided written informed consent for their participation. Imaging with NIRS-IVUS was performed prior to stent implantation (baseline), after stent implantation and after balloon postdilatation.

# 2.2. Study Population

Patients were referred to CAS by a neurologist, cardiologist or vascular surgeon based on the Doppler ultrasound and/or CT-angiography imaging. The Doppler ultrasound was available in 104 (87%) and CT-angiography in 51 (43%) carotid stenoses. Stenoses were quantified angiographically according to the North American Symptomatic Carotid Endarterectomy Trial Collaborators criteria (18). Inclusion criteria were symptomatic (≥50%) or asymptomatic (≥70%) stenosis of the internal carotid artery (ICA) in a patient who was considered eligible for carotid artery stenting (CAS) with a protection device. Eligibility criteria were in accordance with the ESC Guidelines on the diagnosis and treatment of peripheral artery diseases (19). Exclusion criteria were prior ipsilateral CAS, advanced heart failure, renal insufficiency with eGFR ≤30 mL/min/1.73 m², active bleeding, history of intracranial bleeding, imaging evidence of intraluminal thrombus, lesions with angiographic string sign or near-occlusion and where the carotid anatomy was deemed inappropriate for Disclaimer: As a public service to our readership, this article -- peer reviewed by the Editors of EuroIntervention - has been published immediately upon acceptance as it was received. The content of this article is the sole responsibility of the authors, and not that of the laurnal

NIRS-IVUS imaging (kinking, severe tortuosity). Carotid stenosis was considered symptomatic if the patient suffered from stroke, transient ischemic attack or amaurosis fugax ipsilateral to the stenosis in the previous 6 months.

### 2.3. Carotid Stenting

The CAS procedures were all performed by an experienced operator (20-21). Procedures were performed using a 7- or 8-French guiding catheter or a long 6-French sheath. The antithrombotic regimen included administration of 100 mg of aspirin and 300 mg of clopidogrel before CAS; a bolus of heparin (70 IU/kg) was administrated at the beginning of CAS. Types and manufacturers of the embolic protection devices and stents are listed in Supplementary Table 1. Use of an embolic protection device was mandatory prior to NIRS-IVUS imaging. Stents were postdilatated in 98% of the procedures with a 4–5-mm angioplasty balloon up to 8 atmospheres.

2.4. Near-Infrared Spectroscopy and Intravascular Ultrasound Imaging Technique

NIRS and IVUS were performed utilizing a single 3.2F rapid-exchange catheter TVC Insight<sup>TM</sup> Imaging System<sup>TM</sup> (Infraredx, Burlington, MA, USA). The system utilizes absorbance and backscattering of the near-infrared wavelength to determine chemical composition (1). The result of NIRS examination is presented as a chemogram – a two-dimensional map with the x-axis indicating the position of the measurement on the long axis of the vessel and the y-axis circumferential position of the measurement on the cross-section through the vessel – where every picture element (pixel) represents one spectroscopic measurement. Every measurement is binary coded as yellow (positive) or red (negative). The LCBI is a summary measure of the lipid signal along the interrogated segment of the vessel on a scale of 0 to 1000. The LCBI is calculated as a fraction of yellow pixels in the

Disclaimer: As a public service to our readership, this article -- peer reviewed by the Editors of EuroIntervention - has been published immediately upon acceptance as it was received. The content of this article is the sole responsibility of the authors, and not that of the journal

chemogram multiplied by a factor of 1000 (1). The NIRS probe at the distal tip of the catheter acquires 40 spectroscopic measurements per second during automated pullback through the catheter at a speed of 0.5 mm/s and 16 rotations per second (1). IVUS images are acquired via a 40 MHz transducer rotating at the same speed as the NIRS probe.

Three NIRS-IVUS measurements were performed during one CAS procedure (Figure 1). Ten lesions (8%) required pre-dilatation with a 2.0-mm balloon to facilitate passage of the catheter. The first pullback was performed prior to stent implantation (i.e. baseline). The NIRS-IVUS catheter was advanced over a 0.014" guidewire into the ICA distal to the stenosis, and pullback was performed through the stenosis into the CCA. The region of interest (ROI) was defined from IVUS as a 40-mm segment of the ICA and CCA corresponding to the landing zone of the stent. The second pullback was performed after stent implantation from the distal to the proximal edge of the stent. The third pullback was performed after balloon postdilatation of the stent.

### 2.5. Intravascular Ultrasound Analysis and Definitions

Off-line quantitative grey-scale IVUS analysis was performed using the QIvus<sup>TM</sup> software (Medis, Leiden, The Netherlands). The definitions of the IVUS measurements are reported in the expert consensus document (22) (Supplementary Appendix). IVUS was used to assess minimal luminal area (MLA), plaque burden (PB) and calcifications. Plaques at the site of MLA were defined as fibrocalcific if any calcifications were present and fibrous if there were no calcifications.

### 2.6. Near-infrared Spectroscopy Analysis and Definitions

Off-line quantitative and qualitative analysis of NIRS-derived chemograms in the ROI was performed using the QIvus<sup>TM</sup> software (Medis, Leiden, The Netherlands). Chemograms Disclaimer: As a public service to our readership, this article -- peer reviewed by the Editors of EuroIntervention - has been published immediately upon acceptance as it was received. The content of this article is the sole responsibility of the authors, and not that of the journal

were available in all 120 cases at baseline, in 114 cases (94%) after stent implantation and in 114 cases (94%) after postdilatation. At least two chemograms were available in all patients. The following parameters were used to quantify the lipid signal from the ROI: LCBI, maximal LCBI in any 4-mm segment of the artery (LCBImax) and LCBI in the 4-mm segment at the site of minimal luminal area (LCBImla) defined as the segment 2 mm proximal to 2 mm distal from the site of MLA. A lipid-rich plaque (LRP) was defined as a minimum 4mm segment on the chemogram with LCBImax  $\geq$ 400 as previously described (7-8, 19). Lipid core shift was defined as a new lipid signal (yellow spot) in a minimum 2-mm segment of a chemogram (yellow 2mm block chemogram) which was free of the lipid signal (red 2mm terventio block chemogram) on the previous chemogram.

### 2.7. Statistical Analysis

Statistical analyses were performed using the GraphPad Prism 6 software (GraphPad Software, La Jolla, CA, USA). Normally distributed continuous variables are presented as mean  $\pm$  standard deviation (SD) and compared with the Student's t-test. Non-parametric continuous variables are presented as median and interquartile range (IQR) and compared with a Wilcoxon matched-pair signed rank test for paired samples or a Mann-Whitney U test for independent samples. Categorical variables are presented as frequency (%) and compared with Pearson's  $\chi^2$  test. A linear association between continuous variables is expressed with correlation plots and Pearson's correlation coefficient (r). A two-sided P-value of 0.05 or less was considered to indicate statistical significance.

### 3. Results

### 3.1. **Baseline and Procedural Characteristics**

Between April 2013 and June 2017, 159 patients underwent 173 CAS procedures at the study institution, periprocedural NIRS-IVUS imaging was performed in 120 CAS procedures in 112 patients (Table 1). The mean patient age was  $67 \pm 8$  years and two-thirds were male. There was a high prevalence of hypertension (87%), coronary artery disease (50%), smoking (41%) and diabetes (37%). Two-thirds of patients were considered high-risk for surgery. The procedural characteristics are listed in Table 2. Technical success of CAS (residual stenosis less than 30%) was achieved in all patients. All 120 (100%) patients underwent a 30-day follow up. Two (1.7%) periprocedural TIA occurred. During the 30-day follow-up 2 (1.7%) patients suffered from an ipsilateral stroke and no fatal events.

3.2. Near-infrared Spectroscopy and Intravascular Ultrasound Assessment of Carotid Stenosis

NIRS-IVUS provided several detailed insights into carotid stenosis (Table 3).

Fibrocalcific and lipid-rich plaques at the site of MLA were present in 76% and 20% of cases, respectively. LRP in the whole ROI was identified in 33% of cases. Although baseline median LCBImax was significantly higher than median LCBImla [294 (157 – 449) vs. 124 (0 – 338), P < 0.001)], plaque burden (PB) at the site of MLA was significantly larger than PB at the site of LCBImax (PB<sub>mla</sub> 88.5 ± 5.2 % vs. PB<sub>lcbimax</sub> 63.7 ± 20.0%, P < 0.001). We constructed correlation plots for IVUS-derived measurements and LCBI (Figure 2). There was only a weak linear correlation between MLA and LCBImla (r= -0.183, P = 0.045) and no correlation between PB and LCBImla (r= 0.104, P = 0.433). Median LCBI, LCBImax and LCBImla did not differ significantly between sexes, symptomatic or asymptomatic stenoses, presence or absence of coronary artery disease and statin treatment status (Supplementary Table 2).

# 3.3. Change in Near-Infrared Spectroscopy-Derived Lipid Core Size During Carotid Stenting

Median MLA increased significantly from baseline to stent implantation (3.63 mm² to  $5.56 \text{ mm}^2$ , P < 0.001) and from stent implantation to postdilatation (5.56 mm² to  $12.03 \text{ mm}^2$ , P < 0.001) (Figure 3A). Median (IQR) LCBI (Figure 3B), LCBImax (Figure 3C) and LCBImla (Figure 3D) decreased significantly from baseline to stent implantation: LCBI [60 (26 – 116) to 8 (1 – 33), P < 0.001], LCBImax [294 (157 – 449) to 60 (9 – 217), P < 0.001] and LCBImla [124 (0 – 338) to 0 (0 – 2), P < 0.001]. Postdilatation of the stent had no further significant effect on median LCBI [8 (1 – 33) to 5 (0 – 38), P = 0.890], LCBImax [60 (9 – 217) to 50 (0 – 224), P = 0.690] and LCBImla [0 (0 – 2) to 0 (0 – 0), P = 0.438)]. No significant difference in LCBI was observed after stenting with open cell stent versus closed or hybrid cell stent (Supplementary Table 3). Lipid core shift was observed in 16 (13%) cases after stent implantation and in 27 (23%) cases after postdilatation.

### 4. Discussion

The present study was the first prospective study to use serial NIRS-IVUS imaging in patients undergoing CAS to investigate lumen, plaque and lipid core changes caused by stent implantation and balloon postdilatation. The major findings are summarized as follows: Plaques from severe carotid stenosis were mostly fibrocalcific but one third also contained lipid rich regions. These LRP were at sites with a high plaque burden. Implantation of a self-expandable carotid stent, although resulting only in modest luminal expansion, led to a significant decrease in the LCBI. On the contrary, postdilatation of the stent with an angioplasty balloon caused much larger lumen expansion but did not result in any further significant change in LCBI and was associated with lipid core shift in 23% of cases.

Disclaimer: As a public service to our readership, this article -- peer reviewed by the Editors of EuroIntervention - has been published immediately upon acceptance as it was received. The content of this article is the sole responsibility of the authors, and not that of the journal

Recently published studies reported favourable long-term outcomes of both endarterectomy and stenting of carotid stenosis (23-24). Whereas endarterectomy restores the lumen diameter and removes the plaque, stenting restores the lumen by pushing the plaque outwards and, because it cannot be compressed, distending the media and external elastic membrane. The novel finding from our study is that CAS not only dilates the lumen but also significantly reduces the lipid signal. Whether this reduction also means long-term stabilization after healing of the stent with neointima, hence the comparable long-term clinical effect of the two fundamentally different procedures – stenting and endarterectomy – requires further study. We assume that several mechanisms could have contributed to the observed reduction of the LCBI after stent implantation.

The stent disrupts the fibrous cap and cuts into the soft lipid core, causing embolization of the semi-liquid content. This might be the 'culprit' in most ischemic strokes that occur during or within hours to days after CAS, either by embolization of the lipid core or by protrusion of the lipid-rich plaque through stent struts followed by thrombosis on the disrupted plaque and delayed embolization. This mechanism was proposed for lipid-rich plaque-related myocardial infarction after PCI (8-10) and was also observed with an optical coherence tomography (OCT) imaging during CAS (25-26). The limited size of our study and low incidence of adverse clinical events do not allow us to draw any conclusions regarding lipid core embolization.

The stent causes compression and shift of the lipid core within the plaque. Lumen expansion increases the distance of the catheter from the lipid core, thus attenuating the lipid signal which would not be actual lipid core reduction. Lipid core shift was observed in 23% of cases after postdilatation as new yellow spots in segments that were without any lipid signal on the baseline chemogram.

Disclaimer: As a public service to our readership, this article -- peer reviewed by the Editors of EuroIntervention - has been published immediately upon acceptance as it was received. The content of this article is the sole responsibility of the authors, and not that of the journal

The stent reflects near-infrared light obscuring the signal from the lipid core compressed under it. When the stent is not fully expanded, the struts are very dense and cover a significant proportion of the luminal area, creating a mirror to the near-infrared light beam. This would again lead to decreased LCBI, which would not be actual reduction of the lipid core.

Postdilatation decreases the density of the stent struts, which could make the lipid core compressed under the stent reappear on NIRS. Some cases of lipid core shift when there was major reduction of LCBI after stent implantation and some increase after postdilatation could be explained by this mechanism.

### 5. Limitations

The present study is not without inherent limitations, mainly due to the in vivo setting. First, we did not routinely use any imaging method to detect silent microembolization during CAS or new ischemic lesions in the brain, which could be attributed to lipid core embolization. Second, detection of lipid core with NIRS was not compared with another imaging method, as there is no gold standard for plaque composition in vivo. Nevertheless, in an appropriately sized lumen, there is no reason to expect NIRS signals to differ between the carotid and coronary arteries. In our study, the mean minimal luminal diameter of the ICA was  $1.94 \pm 0.42$  mm, and the mean reference luminal diameter of the ICA was  $4.34 \pm 0.82$  mm. The manufacturer of the TVC Imaging System<sup>TM</sup> (Infraredx, Burlington, MA, USA) reports a maximal imaging depth of 10 mm for IVUS and 3.5 mm for NIRS (1-2); assuming that the imaging depth should be sufficient for stenosis of ICA.

### 6. Conclusions

Carotid artery stenting significantly reduced the NIRS-derived lipid core burden index at the stented segment. During CAS significant reduction of LCBI was observed after

stent implantation, whereas postdilatation of the stent had no further significant effect on LCBI.

### 7. Funding

This work was supported by the Ministry of Health, Czech Republic – conceptual development of research organization, Motol University Hospital, Prague, Czech Republic. Grant number SVV-2013-266509 from the Charles University in Prague.

The authors declare that they have no conflict of interest.

### References

- Gardner CM, Tan H, Hull EL, Lisauskas JB, Sum ST, Meese TM, Jiang C, Madden SP, Caplan JD, Burke AP, Virmani R, Goldstein J, Muller JE. Detection of lipid core coronary plaques in autopsy specimens with a novel catheter-based near-infrared spectroscopy system. JACC Cardiovasc Imaging 2008;1:638-48.
- Waxman S, Dixon SR, L'Allier P, Moses JW, Petersen JL, Cutlip D, Tardif JC, Nesto RW, Muller JE, Hendricks MJ, Sum ST, Gardner CM, Goldstein JA, Stone GW, Krucoff MW. In vivo validation of a catheter-based near-infrared spectroscopy system for detection of lipid core coronary plaques: initial results of the SPECTACL study. JACC Cardiovasc Imaging 2009;2:858-68.
- 3. Roleder T, Kovacic JC, Ali Z, Sharma R, Cristea E, Moreno P, Sharma SK, Narula J, Kini AS. Combined NIRS and IVUS imaging detects vulnerable plaque using a single catheter system: a head-to-head comparison with OCT. EuroIntervention. 2014;10:303-11.
- 4. Ten Haaf ME, Rijndertse M, Cheng JM, de Boer SP, Garcia-Garcia HM, van Geuns RM, Regar E, Lenzen MJ, Appelman Y, Boersma E. Sex differences in plaque characteristics by intravascular imaging in patients with coronary artery disease. EuroIntervention. 2017;13:320-328.
- Hildebrandt HA, Patsalis PC, Al-Rashid F, Neuhäuser M, Rassaf T, Heusch G,
  Kahlert P, Kleinbongard P. Quantification and characterisation of released plaque
  material during bioresorbable vascular scaffold implantation into right coronary artery
  lesions by multimodality intracoronary imaging. EuroIntervention. 2016;12:14811489.

- 6. Oemrawsingh RM, Cheng JM, García-García HM, van Geuns RJ, de Boer SP, Simsek C, Kardys I, Lenzen MJ, van Domburg RT, Regar E, Serruys PW, Akkerhuis KM, Boersma E, ATHEROREMO-NIRS Investigators. Near-infrared spectroscopy predicts cardiovascular outcome in patients with coronary artery disease. J Am Coll Cardiol 2014;64:2510-8.
- 7. Schuurman AS, Vroegindewey M, Kardys I, Oemrawsingh RM, Cheng JM, de Boer S, Garcia-Garcia HM, van Geuns RJ, Regar ES, Daemen J, van Mieghem NM, Serruys PW, Boersma E, Akkerhuis KM. Near-infrared spectroscopy-derived lipid core burden index predicts adverse cardiovascular outcome in patients with coronary artery disease during long-term follow-up. Eur Heart J 2018;39:295-302.
- 8. Goldstein JA, Maini B, Dixon SR, Brilakis ES, Grines CL, Rizik DG, Powers ER, Steinberg DH, Shunk KA, Weisz G, Moreno PR, Kini A, Sharma SK, Hendricks MJ, Sum ST, Madden SP, Muller JE, Stone GW, Kern MJ. Detection of lipid core plaques by intracoronary near-infrared spectroscopy identifies high risk of periprocedural myocardial infarction. Circ Cardiovasc Interv 2011;4:429-437.
- 9. Stone GW, Maehara A, Muller JE, Rizik DG, Shunk KA, Ben-Yehuda O, Genereux P, Dressler O, Parvataneni R, Madden S, Shah P, Brilakis ES, Kini AS; CANARY Investigators. Plaque Characterization to Inform the Prediction and Prevention of Periprocedural Myocardial Infarction During Percutaneous Coronary Intervention:

  The CANARY Trial (Coronary Assessment by Near-infrared of Atherosclerotic Rupture-prone Yellow). JACC Cardiovasc Interv 2015;8:927-36.
- 10. Kini AS, Motoyama S, Vengrenyuk Y, Feig JE, Pena J, Baber U, Bhat AM, Moreno P, Kovacic JC, Narula J, Sharma SK. Multimodality Intravascular Imaging to Predict Periprocedural Myocardial Infarction During Percutaneous Coronary Intervention.
  JACC Cardiovasc Interv 2015;8:937-45.

- 11. Madder RD, Smith JL, Dixon SR, Goldstein JA. Composition of target lesions by near-infrared spectroscopy in patients with acute coronary syndrome versus stable angina. Circ Cardiovasc Interv 2012;5:55-61.
- 12. Madder RD, Goldstein JA, Madden SP, Puri R, Wolski K, Hendricks M, Sum ST, Kini A, Sharma S, Rizik D, Brilakis ES, Shunk KA, Petersen J, Weisz G, Virmani R, Nicholls SJ, Maehara A, Mintz GS, Stone GW, Muller JE. Detection by near-infrared spectroscopy of large lipid core plaques at culprit sites in patients with acute ST-segment elevation myocardial infarction. JACC Cardiovasc Interv 2013;6:838-46.
- 13. Garcia BA, Wood F, Cipher D, Banerjee S, Brilakis ES. Reproducibility of near-infrared spectroscopy for the detection of lipid core coronary plaques and observed changes after coronary stent implantation. Catheter Cardiovasc Interv 2010;76:359-65.
- 14. Maini A, Buyantseva L, Maini B. In vivo lipid core plaque modification with percutaneous coronary revascularization: a near-infrared spectroscopy study. J Invasive Cardiol 2013;25:293-5.
- 15. Noori M, Thayssen P, Veien KT, Junker A, Hansen KN, Hansen HS, Jensen LO. Lipid-core burden response to stent implantation assessed with near-infrared spectroscopy and intravascular ultrasound evaluation in patients with myocardial infarction. Cardiovasc Revasc Med 2017;18:182-189.
- 16. Štěchovský C, Hájek P, Horváth M, Špaček M, Veselka J. Near-infrared spectroscopy combined with intravascular ultrasound in carotid arteries. Int J Cardiovasc Imaging 2016;32:181-8.
- 17. Štěchovský C, Hájek P, Horváth M, Špaček M, Veselka J. Composition of carotid artery stenosis and restenosis: A series of patients assessed with intravascular ultrasound and near-infrared spectroscopy. Int J Cardiol 2016;207:64-6.

- 18. North American Symptomatic Carotid Endarterectomy Trial Collaborators (NASCET). Beneficial effect of carotid endarterectomy in symptomatic patients with high-grade carotid stenosis. N Engl J Med 1991;325:445-53.
- 19. European Stroke Organisation, Tendera M, Aboyans V, Bartelink ML, Baumgartner I, Clément D, Collet JP, Cremonesi A, De Carlo M, Erbel R, Fowkes FG, Heras M, Kownator S, Minar E, Ostergren J, Poldermans D, Riambau V, Roffi M, Röther J, Sievert H, van Sambeek M, Zeller T; ESC Committee for Practice Guidelines. ESC Guidelines on the diagnosis and treatment of peripheral artery diseases: Document covering atherosclerotic disease of extracranial carotid and vertebral, mesenteric, renal, upper and lower extremity arteries: the Task Force on the Diagnosis and Treatment of Peripheral Artery Diseases of the European Society of Cardiology (ESC). Eur Heart J 2011;32:2851-906.
- 20. Veselka J, Cerná D, Zimolová P, Blasko P, Fiedler J, Hájek P, Maly M, Zemánek D, Duchonová R. Thirty-day outcomes of direct carotid artery stenting with cerebral protection in high-risk patients. Circ J 2007;71:1468-72.
- 21. Spacek M, Martinkovicova L, Zimolova P, Veselka J. Mid-term outcomes of carotid artery stenting in patients with angiographic string sign. Catheter Cardiovasc Interv 2012;79:174-9.
- 22. Mintz GS, Nissen SE, Anderson WD, Bailey SR, Erbel R, Fitzgerald PJ, Pinto FJ, Rosenfield K, Siegel RJ, Tuzcu EM, Yock PG. American College of Cardiology Clinical Expert Consensus Document on Standards for Acquisition, Measurement and Reporting of Intravascular Ultrasound Studies (IVUS). A report of the American College of Cardiology Task Force on Clinical Expert Consensus Documents. J Am Coll Cardiol 2001;37:1478-92.

- 23. Rosenfield K, Matsumura JS, Chaturvedi S, Riles T, Ansel GM, Metzger DC, Wechsler L, Jaff MR, Gray W; ACT I Investigators. Randomized Trial of Stent versus Surgery for Asymptomatic Carotid Stenosis. N Engl J Med 2016;374:1011-20.
- 24. Brott TG, Howard G, Roubin GS, Meschia JF, Mackey A, Brooks W, Moore WS, Hill MD, Mantese VA, Clark WM, Timaran CH, Heck D, Leimgruber PP, Sheffet AJ, Howard VJ, Chaturvedi S, Lal BK, Voeks JH, Hobson RW 2nd; CREST Investigators. Long-Term Results of Stenting versus Endarterectomy for Carotid-Artery Stenosis. N Engl J Med 2016;374:1021-31.
- 25. Reimers B, Nikas D, Stabile E, Favero L, Saccà S, Cremonesi A, Rubino P.
  Preliminary experience with optical coherence tomography imaging to evaluate carotid artery stents: safety, feasibility and techniques. EuroIntervention 2011;7:98105.
- 26. Jones MR, Attizzani GF, Given CA 2nd, Brooks WH, Ganocy SJ, Ramsey CN, Fujino Y, Bezerra HG, Costa MA. Intravascular frequency-domain optical coherence tomography assessment of carotid artery disease in symptomatic and asymptomatic patients. JACC Cardiovasc Interv 2014;7:674-84.

### Figure legends

Figure 1. A panel of pictures demonstrating imaging process in the study. A-D is imaging at baseline, E-H is imaging after stenting and I-L is imaging after balloon postdilatation. A, E and I are cross-section NIRS-IVUS frames at the site of minimal lumen area. B, F and J are chemograms. C, G and K are angiograms of carotid bifurcation and D, H and L are their corresponding longitudinal NIRS-IVUS images.

Figure 2. Correlation plots with Pearson's correlation coefficient (r). A) correlation between LCBImla and minimal luminal area. B) correlation between LCBImla and plaque burden.

Figure 3. Scatter plots with median and IQR bars of A) minimal luminal area, B) lipid core burden index (LCBI), C) maximal lipid core burden index in any 4-mm segment of the artery (LCBImax) and D) lipid core burden index in the 4-mm segment at the site of minimal luminal area (LCBImla) at baseline, after implantation of the stent (stent) and after postdilatation of the stent (postdilatation).

**Table 1: Patient characteristics** 

	n (%)
Male	75 (67%)
Age (years)	$67.4 \pm 8.1$
Body mass index (kg/m2)	$28.0 \pm 4.0$
Symptomatic stenosis	17 (14%)
Coronary artery disease	60 (50%)
Diabetes	44 (37%)
Arterial hypertension	104 (87%)
Smoking	49 (41%)
High risk patient*	78 (65%)
Medication	
Statin	92 (77%)
Aspirin	101 (84%)
Beta-blocker	66 (55%)
RAAS inhibitor	89 (74%)
Serum laboratory tests	10
Total cholesterol (mmol/l)	$4.32 \pm 0.93$
Low density lipoprotein cholesterol	$2.44 \pm 0.83$
(mmol/l)	
Creatinine (µmol/l)	$84.8 \pm 24.8$
Estimated glomerular filtration rate	91 ± 25
$(ml/s/1,73m^2)$	
High sensitivity C-reactive protein (mg/l)	$4.8 \pm 8.9$

<sup>\*</sup>One of the following: Left ventricle ejection fraction  $\leq$  40%, chronic bronchopulmonary disease, prior myocardial infarction, coronary artery bypass grafts or age  $\geq$  75 years.

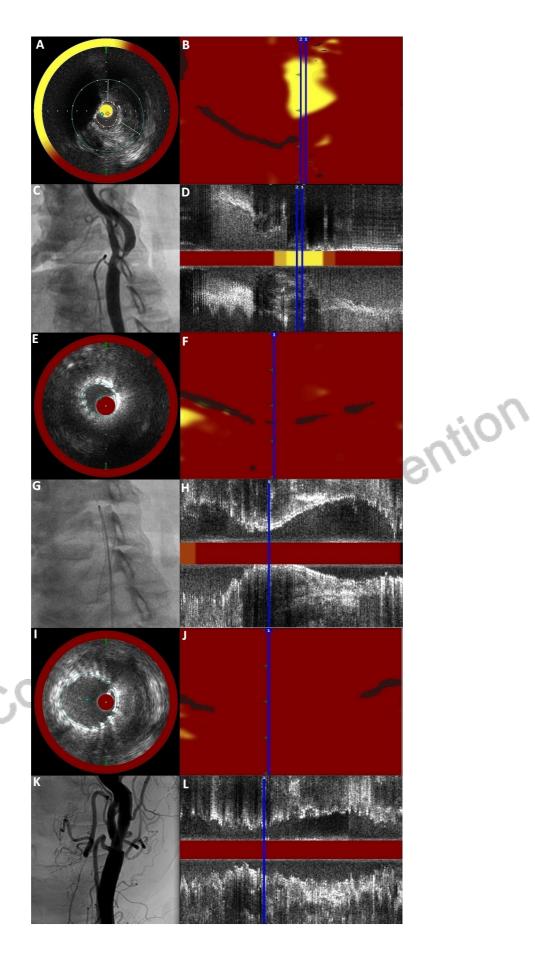
**Table 2: Procedural characteristics** 

Left carotid as a target vessel	67 (56%)
Diameter stenosis at angiography (%)	84 ± 9
Residual stenosis at angiography after CAS (%)	8 ± 8
Use of protection device	100 (100%)
Proximal occlusion device*	16 (13%)
Distal filter device*	113 (94%)
Direct stenting	110 (92%)
Postdilatation	117 (98%)
Stent type	
Number of implanted stents	129 (100%)
Open cell stent	33 (26%)
Hybrid stent	13 (10%)
Closed cell stent	83 (64%)
Stent length (mm)	$37 \pm 5$
Fluoroscopy time (minutes)	$8.0 \pm 3.6$
Procedural time (minutes)	$27.4 \pm 9.2$

<sup>\*</sup>Both devices could be used during one procedure.

Table 3: NIRS-IVUS chracteristics of the carotid stenosis

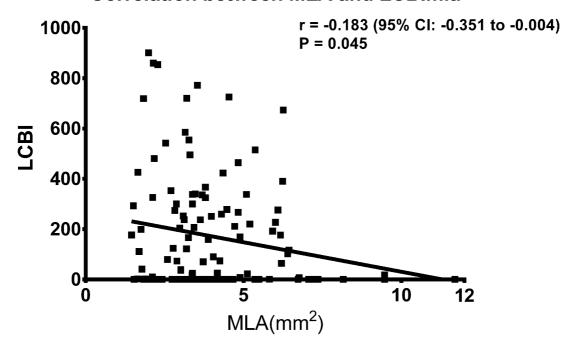
NIRS-IVUS performed at:	
Baseline	120 (100%)
After stent implantation	114 (95%)
After postdilatation	114 (95%)
Minimal luminal diameter (mm)	$1.94 \pm 0.42$
Reference luminal diameter (mm)	$4.34 \pm 0.82$
Minimal luminal area (mm²)	$3.99 \pm 1.77$
Plaque burden at the site of MLA (%)	$88.5 \pm 5.2$
Plaque burden at the site of LCBImax (%)	$63.7 \pm 20.0$
Lipid rich plaque (LCBImax ≥ 400)	40 (33%)
Arc of calcium at the site of MLA (degrees)	*/0)
0	29 (24%)
1-90	43 (36%)
91-180	35 (29%)
181-360	13 (11%)
Type of the plaque in the 4 mm segment with MLA	
Fibrocalcified plaque	91 (76%)
Lipid rich plaque (LCBImla ≥ 400)	24 (20%)
Non-calcified lipid rich plaque (LCBImla ≥ 400)	4 (3%)



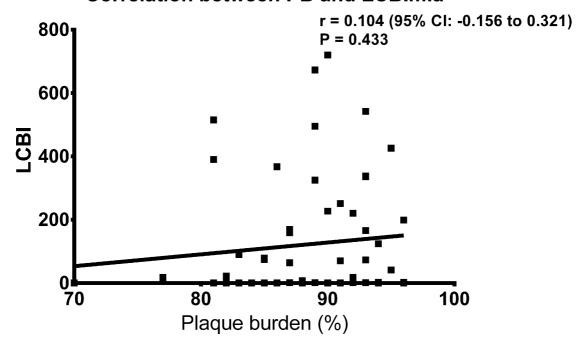
Disclaimer: As a public service to our readership, this article -- peer reviewed by the Editors of EuroIntervention - has been published immediately upon acceptance as it was received. The content of this article is the sole responsibility of the authors, and not that of the journal

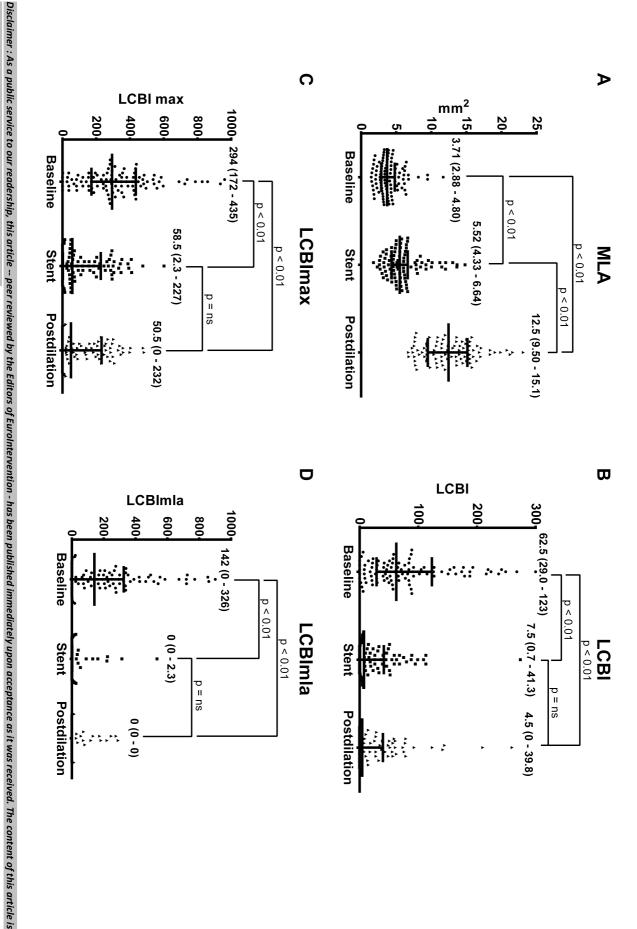


# Correlation between MLA and LCBImla



# B Correlation between PB and LCBImla





### **Supplementary Appendix**

### 1. Intravascular Ultrasound Analysis and Definitions

The definitions of the IVUS measurements used in the study are identical to those reported in the expert consensus document (22). Semi-automated contour detection with manual corrections of the luminal border and the external elastic membrane (EEM) were performed. Lumen cross-sectional area (CSA) is the area bounded by the luminal border. MLA is lumen CSA with the minimal area. EEM CSA (or vessel area) is the area bounded by the EEM border. PB is the EEM CSA minus the lumen CSA, divided by the EEM CSA. PB represents vessel area occupied by the atheroma regardless of lumen compromise. The extent of calcifications was measured in degrees (arc of calcium) at cross-sectional IVUS image at the site of MLA. The cross-sectional frame used as the distal reference was the most distal IVUS frame with detectable lumen and EEM. Plaques at the site of MLA were defined as fibrocalcific if any calcifications were present and fibrous if there were no calcifications.

# Supplementary Table 1: Stents and protection devices utilized in the study

	N (%)
Distal filter	113 (94%)
Emboshield NAV6 <sup>TM</sup> (Abbott Vascular, Redwood City, CA, USA)	68 (57%)
FilterWire EZ <sup>TM</sup> (Boston Scientific, Mountain View, CA, USA)	45 (37%)
Proximal protection device	16 (13%)
Mo.Ma <sup>TM</sup> (Invatec, Roncadelle, Italy)	16 (13%)
Combination of both devices	9 (8%)
Stent	129
Xact <sup>TM</sup> (Abbott Vascular, Galway, Ireland)	47 (36%)
Sinus-Carotid <sup>TM</sup> (Optimed, Ettlingen, Germany)	33 (26%)
Wallstent <sup>TM</sup> (Boston Scientific, Mountain View, CA, USA)	36 (28%)
Cristallo Ideale <sup>TM</sup> (Invatec, Roncadelle, Italy)	13 (10%)
Cobhright	

# Supplementary Table 2: Baseline LCBI in subgroups of patients.

	No 28 (23%)	Yes 92 (77%)	Statin therapy at baseline	No 60 (50%)	Yes 60 (50%)	Coronary artery disease	No 103 (86%)	Yes 17 (14%)	stenosis		Female 37 (33%)	Male 75 (67%)	Sex	n (%)
	%) 67 (47 - 153)	%) 65 (29 - 126)		<sup>1</sup> %) 67 (31 - 102)	<sup>1</sup> %) 56 (28 - 153)		6%) 57 (25 - 104)	·%) 88 (56 - 179)			%) 56 (21 - 84)	%) 75 (30 - 152)		LCBI, median (IQR)
	314 (244 - 422)	294 (174 - 449)	J.Y.	296 (203 - 426)	293 (155 - 480)	ric.	291 (157 – 428)	381 (271 – 543)	19		0,096 289 (151 - 362)	315 (173 - 467)	1	P value   LCBImax,   median (IQR)
ovright	0,8/5 4	0 073 1		0,730	0 028 1		0,100	1			- 0,213 $-$ 7			P value L
Cox.	11 (0 - 267)	59 (0 - 337)		72 (0 - 338)	20 (0 - 298)		22(1-286)	300 (0 – 495)		( - : - )	<sup>7</sup> 6 (0 - 241)	77 (0 - 347)		LCBImla, median (IQR)
	0,390	0.206		0,343	0 0 0 0		0,007	0 587			0,196	0.107		P value

# Supplementary Table 3: Lipid signal after CAS with open vs. closed or hybrid cell stents

	Open cell stents	Close and hybrid cell stents	P value
Baseline LCBI	77 (25 - 176)	57 (26 - 105)	0,304
Post stent LCBI	11 (1 - 71)	7 (1 - 30)	0,258
Postdilatation LCBI	9 (1 - 52)	4 (0-34)	0,170

